

23 March 2023

Ms Yulia Gurdina  
Senior Advisor  
ASX Limited  
20 Bridge Street  
SYDNEY NSW 2000

Dear Ms Gurdina,

**Allkem Limited – Appendix 3Y – Late Lodgement Notice**

Allkem Limited (ASX: AKE, “**Allkem**” or the “**Company**”) annexes an Appendix 3Y (Change of Director’s Interest Notice) for Mr. Fernando Oris de Roa in relation to a trade which occurred on 7 September 2022 (“**Appendix 3Y**”).

In relation to the late lodgement of the annexed Appendix 3Y, Allkem advises as follows:

- (1) The Appendix 3Y was lodged late due to an inadvertent administrative oversight. While the Appendix 3Y was prepared and authorised for release within the required timeframe, due to an inadvertent administrative oversight, it was not uploaded to the ASX platform. As soon as the oversight was identified, the Appendix 3Y was lodged with ASX.
- (2) The Company and the Directors are aware of their obligations under ASX Listing Rules 3.19A and 3.19B to provide the necessary information to the Company to meet its disclosure requirements. The Company has a letter of appointment in place with each director which sets out requirements to notify the Company of changes in interests; and
- (3) The Company considers the late lodgement of the Appendix 3Y to be an isolated incident and believes that its current disclosure arrangements are adequate and are being enforced to ensure compliance with the ASX Listing Rules.

This release was authorised by Mr Martin Perez de Solay, CEO and Managing Director of Allkem Limited.

Yours sincerely,



**Dylan Roberts**  
**Company Secretary**



## Appendix 3Y

### Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/09/01 Amended 01/01/11

<b>Name of entity</b>	Allkem Limited
<b>ABN</b>	31 112 589 910

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	Fernando Oris de Roa
<b>Date of last notice</b>	1 November 2016

#### **Part 1 - Change of director's relevant interests in securities**

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct
<b>Nature of indirect interest (including registered holder)</b> Note: Provide details of the circumstances giving rise to the relevant interest.	N/A
<b>Date of change</b>	7 September 2022
<b>No. of securities held prior to change</b>	100,000 Fully Paid Ordinary shares
<b>Class</b>	Fully Paid Ordinary Shares
<b>Number acquired</b>	N/A
<b>Number disposed</b>	50,000 Fully Paid Ordinary Shares
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and estimated valuation	\$690,744 (50,000 shares @ \$13.814880 per share)
<b>No. of securities held after change</b>	50,000 Fully Paid Ordinary Shares

+ See chapter 19 for defined terms.

## Appendix 3Y Change of Director's Interest Notice

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<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	On market trade
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### Part 2 – Change of director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

### Part 3 – <sup>+</sup>Closed period

<b>Were the interests in the securities or contracts detailed above traded during a <sup>+</sup>closed period where prior written clearance was required?</b>	No
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

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<sup>+</sup> See chapter 19 for defined terms.