

ASX RELEASE

8 February 2024

## CHANGE OF DIRECTOR'S INTEREST

4DS Memory Limited (ASX:4DS) (**4DS** or the **Company**) advises that the Ms Margie Livingston, the spouse of the Company's Executive Chair Mr David McAuliffe, has sold 4.27 million shares for the purposes of recovering the cost of her exercise of 7 million \$0.052 options, as announced to the market on 15 January 2024.

A copy of the Appendix 3Y for Mr McAuliffe is attached.

### 4DS Interactive Investor Hub

If you have any questions on this announcement or any past 4DS announcements, check out our Investor Hub. Like, comment, ask a question and view video summaries on important announcements. Shareholders can link through to the Investor Hub via: [announcements.4dsmemory.com](https://announcements.4dsmemory.com)

Authorised for release by the Board.

### ENDS

#### Contact information

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#### About 4DS

4DS Memory Limited (ASX: 4DS), with facilities located in Silicon Valley, is a semiconductor development company of non-volatile memory technology, pioneering Interface Switching ReRAM for next generation gigabyte storage in mobile and cloud. Established in 2007, 4DS owns a patented IP portfolio, comprising 34 USA patents granted which have been developed in-house to create high-density Storage Class Memory. 4DS has a joint development agreement with Western Digital subsidiary HGST, a global storage leader, which accelerates the evolution of 4DS' technology. 4DS also collaborates with imec, a world-leading research and innovation hub in nanoelectronics and digital technologies. The combination of imec's widely acclaimed leadership in microchip technology and profound software and information and communication technology expertise makes them unique.

For more information, please visit [www.4dsmemory.com](https://www.4dsmemory.com).

#### Disclaimer

This release contains certain forward-looking statements that are based on the Company's management's beliefs, assumption, and expectations and on information currently available to management. Such forward looking statements involve known and unknown risks, uncertainties, and other factors which may cause the actual results or performance of 4DS to be materially different from the results or performance expressed or implied by such forward looking statements. Such forward looking statements are based on numerous assumptions regarding the Company's present and future business strategies and the political and economic environment in which 4DS will operate in the future, which are subject to change without notice. Past performance is not necessarily a guide to future performance and no representation or warranty is made as to the likelihood of achievement or reasonableness of any forward-looking statements or other forecast. To the full extent permitted by law, 4DS and its directors, officers, employees, advisers, agents and intermediaries disclaim any obligation or undertaking to release any updates or revisions to information to reflect any change in any of the information contained in this release (including, but not limited to, any assumptions or expectations set out in the release).

You should not place undue reliance on these forward- looking statements. Except as required by law or regulation (including the ASX Listing Rules) we undertake no obligation to update these forward- looking statements.

# Appendix 3Y

## Change of Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/9/2001.

<b>Name of entity</b>	<b>4DS Memory Limited</b>
<b>ABN</b>	43 145 590 110

We (the entity) give ASX the following information under listing rule 3.19A.2 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	<b>Mr David McAuliffe</b>
<b>Date of last notice</b>	<b>15 January 2024</b>

### Part 1 - Change of director's relevant interests in securities

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Direct or indirect interest</b>	Direct and Indirect
<b>Nature of indirect interest (including registered holder)</b> <small>Note: Provide details of the circumstances giving rise to the relevant interest.</small>	David Jerimiah McAuliffe <The Lazy D9M Investment Trust> (trustee and beneficiary) Margaret Elizabeth Livingston (spouse)
<b>Date of change</b>	5 & 6 February 2024
<b>No. of securities held prior to change</b>	<b>Direct</b> <u>David McAuliffe</u> 2,767,740 fully paid ordinary shares <b>Indirect</b> <u>David Jerimiah McAuliffe&lt;The Lazy D9M Investment Trust&gt;</u> 4,560,966 fully paid ordinary shares <u>Margaret Elizabeth Livingston</u> 7,000,000 fully paid ordinary shares 6,000,000 unlisted options exercisable at \$0.037 each on or before 27 February 2028
<b>Class</b>	Fully paid ordinary shares
<b>Number acquired</b>	-
<b>Number disposed</b>	4,267,664

+ See chapter 19 for defined terms.

**Appendix 3Y**  
**Change of Director's Interest Notice**

<b>Value/Consideration includes brokerage/gst</b> Note: If consideration is non-cash, provide details and estimated valuation	\$366,145.91
<b>No. of securities held after change</b>	<b>Direct</b> <u>David McAuliffe</u> 2,767,740 fully paid ordinary shares <b>Indirect</b> <u>David Jerimiah McAuliffe&lt;The Lazy D9M Investment Trust&gt;</u> 4,560,966 fully paid ordinary shares <u>Margaret Elizabeth Livingston</u> 2,732,336 fully paid ordinary shares 6,000,000 unlisted options exercisable at \$0.037 each on or before 27 February 2028
<b>Nature of change</b> Example: on-market trade, off-market trade, exercise of options, issue of securities under dividend reinvestment plan, participation in buy-back	On-market sale of shares

**Part 2 – Change of director's interests in contracts**

Note: In the case of a company, interests which come within paragraph (ii) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Detail of contract</b>	N/A-
<b>Nature of interest</b>	N/A
<b>Name of registered holder (if issued securities)</b>	N/A
<b>Date of change</b>	N/A
<b>No. and class of securities to which interest related prior to change</b> Note: Details are only required for a contract in relation to which the interest has changed	N/A
<b>Interest acquired</b>	N/A
<b>Interest disposed</b>	N/A
<b>Value/Consideration</b> Note: If consideration is non-cash, provide details and an estimated valuation	N/A
<b>Interest after change</b>	N/A

**Part 3 – +Closed period**

<b>Were the interests in the securities or contracts detailed above traded during a +closed period where prior written clearance was required?</b>	No.
<b>If so, was prior written clearance provided to allow the trade to proceed during this period?</b>	N/A
<b>If prior written clearance was provided, on what date was this provided?</b>	N/A

+ See chapter 19 for defined terms.